

The Commission for Local Administration in England

# The Local Government Ombudsman's Annual Letter **Tonbridge and Malling Borough Council**

for the year ended 31 March 2007

The Local Government Ombudsman (LGO) investigates complaints by members of the public who consider that they have been caused injustice through administrative fault by local authorities and certain other bodies. The LGO also uses the findings from investigation work to help authorities provide better public services through initiatives such as special reports, training and annual letters.

## Annual Letter 2006/07 - Introduction

The aim of the annual letter is to provide a summary of information on the complaints about Tonbridge and Malling Borough Council that we have received and try to draw any lessons learned about the authority's performance and complaint-handling arrangements. These might then be fed back into service improvement.

I hope that the letter will be a useful addition to other information your authority holds on how people experience or perceive your services.

There are two attachments which form an integral part of this letter: statistical data covering a three year period and a note to help the interpretation of the statistics.

## **Complaints received**

I received just 10 complaints about your council last year, compared with 12 in the previous year. The distribution of complaints in the main service delivery areas remained broadly the same. The numbers involved do not indicate any particular issues for the Council.

## **Decisions on complaints**

During the year we made decisions on 11 complaints against your authority. We found no maladministration in three complaints and we exercised discretion to close a further five without requiring any action by the Council.

## **Reports and settlements**

We use the term 'local settlement' to describe the outcome of a complaint where, during the course of our investigation, the Council takes, or agrees to take, some action which we consider is a satisfactory response to the complaint and the investigation does not need to be completed. These form a significant proportion of the complaints we determine. When we complete an investigation we must issue a report.

Last year I issued no reports against your authority. I also settled no complaints.

## Your Council's complaints procedure and handling of complaints

My office referred three 'premature complaints' to your authority for consideration, as we did not think you had had sufficient opportunity to deal with them through your own procedures. I am aware of the importance that the Council attaches to its complaints procedure, and this is emphasised by the personal involvement of the Chief Executive in complaints to the Ombudsman. The continuing low level of complaints received and of settlements indicates that the Council's complaints system remains effective.

## Training in complaint handling

As part of our role to provide advice in good administrative practice, we offer training courses for all levels of local authority staff in complaints handling and investigation. The feedback from courses that have been delivered over the past two and a half years is very positive.

The range of courses is expanding in response to demand and in addition to the generic Good Complaint Handing (identifying and processing complaints) and Effective Complaint Handling (investigation and resolution) we now offer these courses specifically for social services staff. We have also successfully piloted a course on reviewing complaints for social services review panel members. We can run open courses for groups of staff from smaller authorities and also customise courses to meet your council's specific requirements.

All courses are presented by an experienced investigator so participants benefit from their knowledge and expertise of complaint handling.

I have enclosed some information on the full range of courses available together with contact details for enquiries and any further bookings.

## Liaison with the Local Government Ombudsman

I made formal enquiries on only one complaint this year and am pleased to note that the response was received within the target of 28 days. In general, my investigators find the Council responsive and constructive in its approach to complaints.

My Deputy and an Investigator were pleased to visit your office last May to talk about the role of the Ombudsman. I note that you expressed interest in my staff talking to your elected Members following the recent elections. Please let me know if you would like to pursue this.

#### LGO developments

I thought it would be helpful to update you on a project we are implementing to improve the first contact that people have with us as part of our customer focus initiative. We are developing a new Access and Advice Service that will provide a gateway to our services for all complainants and enquirers. It will be mainly telephone-based but will also deal with email, text and letter correspondence. As the project progresses we will keep you informed about developments and expected timescales.

Changes brought about by the Local Government Bill are also expected to impact on the way that we work and again we will keep you informed as relevant.

#### **Conclusions and general observations**

I welcome this opportunity to give you my reflections about the complaints my office has dealt with over the past year. I hope that you find the information and assessment provided useful when seeking improvements to your Council's services.

Tony Redmond Local Government Ombudsman 10<sup>th</sup> Floor Millbank Tower Millbank LONDON SW1P 4QP

June 2007

Enc: Statistical data Note on interpretation of statistics Details of training courses

	omplaints received y subject area	Housing	Other	Planning & building control	Public finance	Transport and highways	Total
C	1/04/2006 - 31/03/2007	1	1	6	1	1	10
	2005 / 2006	3	4	5	0	0	12
	2004 / 2005	0	1	7	1	1	10

Note: these figures will include complaints that were made prematurely to the Ombudsman and which we referred back to the authority for consideration.

Decisions		MI reps	LS	M reps	NM reps	No mal	Omb disc	Outside jurisdiction	Premature complaints	Total excl premature	Total
	01/04/2006 - 31/03/2007	0	0	0	0	3	5	0	3	8	11
	2005 / 2006	0	1	0	0	2	5	1	3	9	12
	2004 / 2005	0	2	0	0	3	2	0	1	7	8

See attached notes for an explanation of the headings in this table.

	FIRST ENQUIRIES					
Response times	No. of First Enquiries	Avg no. of days to respond				
01/04/2006 - 31/03/2007	1	28.0				
2005 / 2006	2	16.0				
2004 / 2005	3	18.0				

# Average local authority response times 01/04/2006 to 31/03/2007

Types of authority	<= 28 days	29 - 35 days	> = 36 days
	%	%	%
District Councils	48.9	23.4	27.7
Unitary Authorities	30.4	37.0	32.6
Metropolitan Authorities	38.9	41.7	19.4
County Councils	47.1	32.3	20.6
London Boroughs	39.4	33.3	27.3
National Park Authorities	66.7	33.3	0.0